

Humboldt State University
 Report of Funds Raised
 Voluntary Support of Education (VSE) Survey

Fiscal year ending:	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	10-year average
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Total Support for All Purposes

Alumni	\$507,167	\$704,512	\$640,583	\$1,028,005	\$1,048,865	\$792,989	\$995,956	\$852,779	\$969,161	\$897,740	\$843,776
Other Individuals	\$1,776,523	\$989,628	\$693,145	\$1,016,443	\$1,924,123	\$1,538,235	\$1,117,375	\$1,751,369	\$936,403	\$762,053	\$1,250,530
Foundations	\$1,451,184	\$1,154,383	\$1,666,003	\$2,128,771	\$895,102	\$876,578	\$1,619,611	\$2,026,754	\$2,398,879	\$1,354,404	\$1,557,167
Corporations	\$951,025	\$352,641	\$437,107	\$1,074,035	\$1,580,125	\$1,734,356	\$1,381,590	\$1,708,179	\$1,823,953	\$2,228,118	\$1,327,113
Other Organizations	\$143,920	\$368,777	\$101,592	\$674,148	\$871,183	\$761,906	\$435,492	\$794,865	\$926,244	\$891,337	\$596,946
Total	\$4,829,819	\$3,569,941	\$3,538,430	\$5,921,402	\$6,319,398	\$5,704,064	\$5,550,024	\$7,133,946	\$7,054,640	\$6,133,652	\$5,575,532

Core Support (Total Support minus 3 largest gifts & 3 largest estates)

	\$3,609,531	\$3,169,040	\$3,276,977	\$5,134,539	\$5,067,642	\$4,914,771	\$4,805,008	\$6,233,762	\$6,583,937	\$5,859,809	\$4,865,502
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Total Number of Donors

	7,034	7,921	7,611	8,121	8,287	7,972	7,576	7,563	6,625	6,315	7,503
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Average Contribution

	\$687	\$451	\$465	\$729	\$763	\$716	\$733	\$943	\$1,065	\$971	\$752
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Giving by Alumni

Alumni of Record	47,514	43,252	43,920	46,293	46,482	48,685	48,932	50,526	52,240	54,347	48,219
Alumni Solicited	42,050	36,622	30,468	20,816	38,105	41,662	44,893	31,096	29,884	34,508	35,010
Alumni Donors	3,581	4,338	3,880	4,047	4,110	3,810	3,754	3,585	3,035	2,737	3,688
Alumni Giving	\$507,167	\$704,512	\$640,583	\$1,028,005	\$1,048,866	\$796,900	\$995,956	\$1,253,154	\$981,294	\$897,740	\$885,418
Alumni Participation	7.5%	10.0%	8.8%	8.7%	8.8%	7.8%	7.7%	7.1%	5.8%	5.0%	7.7%
Average Contribution	\$142	\$162	\$165	\$254	\$255	\$209	\$265	\$350	\$323	\$328	\$245

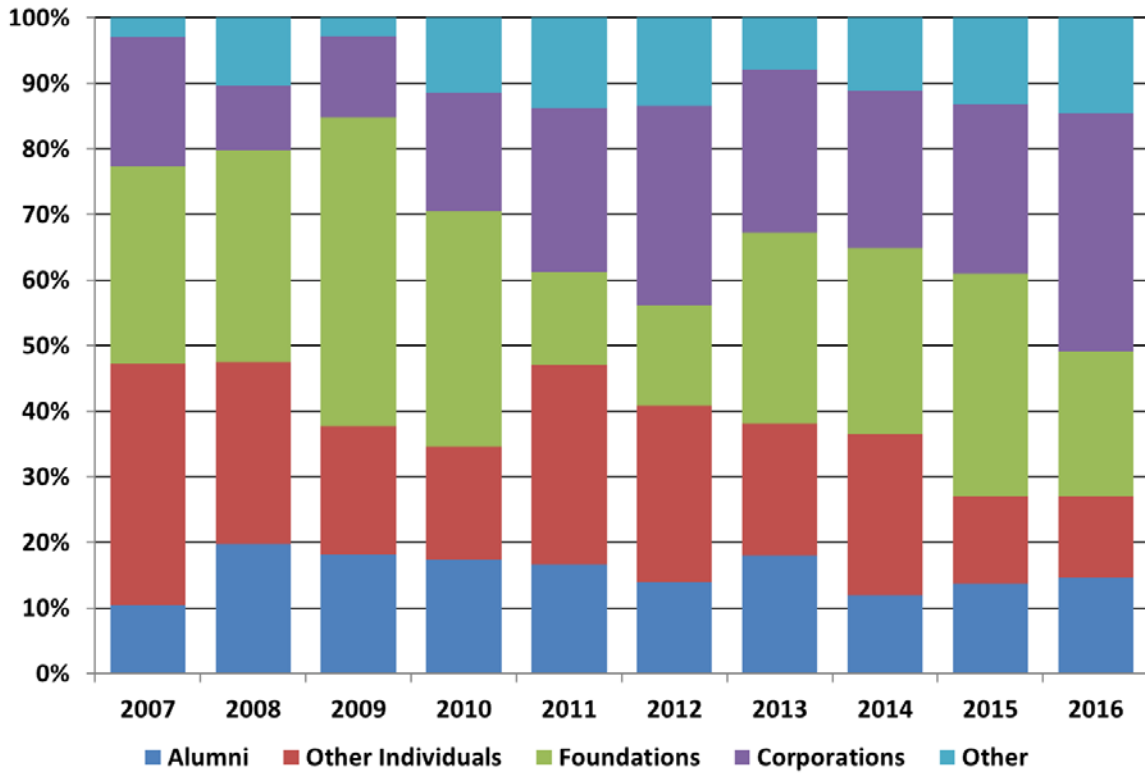
Giving by Governing Board

Donors	3	7	16	21	27	28	29	20	21	11	18
Total Giving	\$1,680	\$2,512	\$12,771	\$143,510	\$219,034	\$588,748	\$154,359	\$195,299	\$77,582	\$37,653	\$143,315
Average Contribution	\$560	\$359	\$798	\$6,834	\$8,112	\$21,027	\$5,323	\$9,765	\$3,694	\$3,423	\$5,989

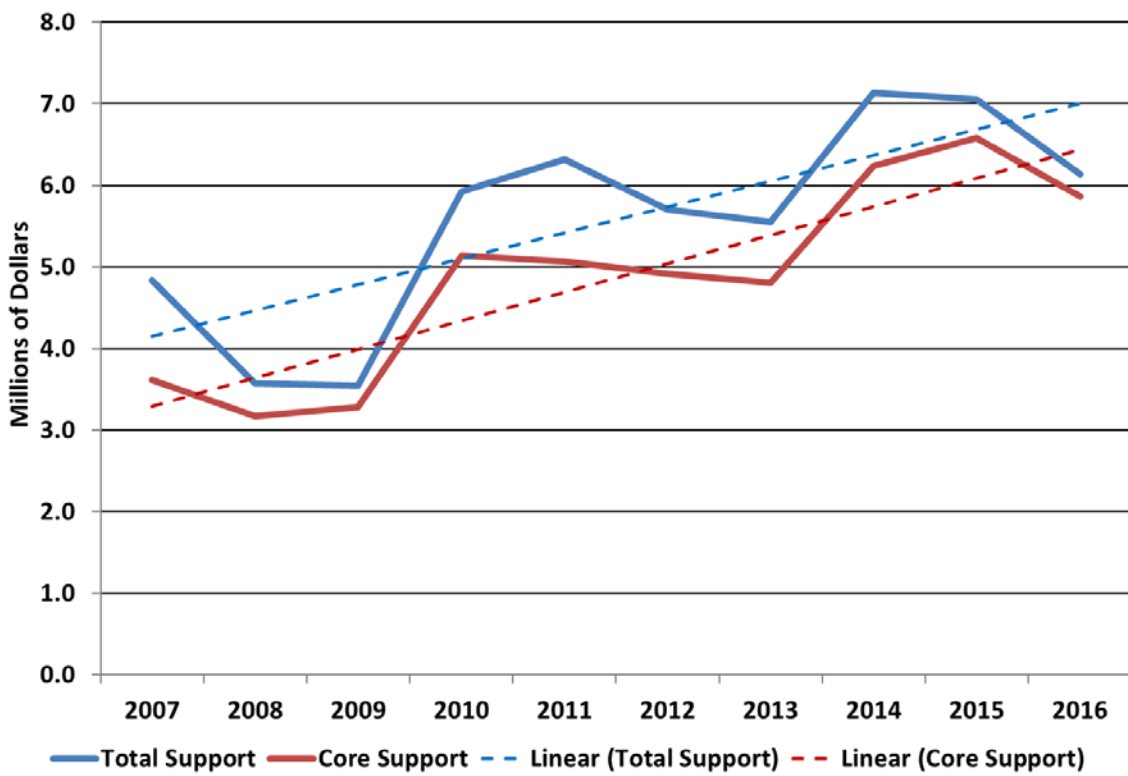
Giving by Faculty & Staff

Faculty & Staff Donors	184	168	179	180	210	185	195	153	108	132	169
Faculty & Staff Giving	\$118,037	\$91,684	\$48,562	\$141,396	\$128,672	\$141,033	\$151,774	\$45,029	\$37,176	\$47,979	\$95,134
Average Gift	\$642	\$546	\$271	\$786	\$613	\$762	\$778	\$294	\$344	\$363	\$540

Percent of Total Dollars Contributed by Source



Total Dollars Received



Humboldt State University
FY2016 Higher Education Survey
Section 1. Pledges & Testamentary Commitments (Optional)

A. Outstanding Pledges Report the number and dollar amount of new contributions pledged (unconditionally) during the fiscal year but still outstanding at the end of the fiscal year, regardless of the promised payment date. Do not include pledges made in a previous year. Do not include pledge amounts paid during the reporting year. Pledges (unconditional promises) should not be counted anywhere else in the VSE survey.

	No. of Pledges	Amount \$
1. For current operations	563	922,369
2. For capital purposes	0	0

B. New Testamentary Commitments Report provisions made in wills (or through revocable trusts) during the fiscal year for which the institution has documentation. Documentation might include a photocopy of the pertinent portion of the will or trust document or a letter describing the commitment and its ultimate financial value to the institution. Do not include provisions that name the institution as a contingent beneficiary. Testamentary commitments (bequest intentions) should not be counted anywhere else in the VSE Survey.

	Number of provisions	Face value of provisions \$	Present value of provisions \$
New Testamentary Commitments	1	10,000	

**Humboldt State University
 FY2016 Higher Education Survey
 Section 2. Finances & Enrollment (Required for All Versions)**

This entire section must be filled out in order to be listed in the VSE Report and be eligible for the CASE Award Program.

For Enrollment and FTEs, report the opening fall headcount or FTE count for the year being reported. Do not report unduplicated annual enrollment. For Endowment, report the market value at the end of the fiscal year being reported. For Expenditures, report the institution's E&G expenditures (read instructions for exclusions) AND the total expenditures of the affiliated foundation, if any.

ENROLLMENT: Count enrollment at the beginning of the academic year being reported (i.e., fall of 2014 for the report covering the 2014-15 fiscal year). All students (except continuing education) are counted: full-time, part-time, resident, extension, non-degree. (DO NOT convert part-time students to full-time equivalents.) FULL-TIME EQUIVALENT ENROLLMENT: Count the FTE enrollment figure as of the beginning of the reporting period, i.e., opening fall FTE enrollment. If the FTE figure is not available, use the formula: the sum of all full-time students plus one-third of the number of part-time students (e.g., three part-time students equal one full-time student). ENDOWMENT/LONG-TERM INVESTMENTS: Include all the following: - True endowment : funds provided the institution, the principal of which is not expendable by the institution under the terms of the agreement that created the fund. - Term endowment : similar to true endowment except that all or part of the funds may be expended after a stated period or upon the occurrence of a certain event as stated in the terms governing the funds. - Quasi-endowment : funds functioning as endowment - funds given to the institution "with no strings attached" or surplus funds that have been added to the endowment fund, the principal of which may be spent at the discretion of the governing board. Public institutions should include the combined endowment of the foundation and the institution. As a guideline, institutions should enter the amount reported on the NACUBO endowment survey. EXPENDITURES: Include all expenditures for Instruction, Research, Public service, Academic support, Student services, Institutional support, Scholarships and fellowships, and Operation and maintenance of physical plant. Exclude Auxiliary Enterprises, Hospital Services, and Independent operations.
 Public institutions should enter the combined expenditures for the foundation and the institution.

	FY2015	FY2016
1. Enrollment - total headcount	8,485	8,790
2. Enrollment - full-time equivalent	7,960.0	8,228.0
3. Endowment market value \$	27,936,858	27,068,353
4. Expenditures \$	151,762,081	147,331,422

Notes on Endowment and Expenditures

**Humboldt State University
FY2016 Higher Education Survey
Section 2b. Advancement Investment (Optional)**

QUESTION I: In the section below, report expenditures by function. Regardless of how the advancement function is administered (centrally or in a decentralized manner), report the distribution of expenditures by function below. Salaries and benefits should be included in rows 1. and 2. by function, even if they are paid for centrally.

	A. Development/ Fundraising	B. Alumni Relations /Affairs	C. Communications/ Marketing	D. Advancement Services	E. Advancement Management	F. Total Expenditures
1. Salaries	328,902	4,800	418,279	17,866	148,140	917,987
2. Benefits	159,344	2,334	234,563	12,535	57,692	466,468
3. Current Operations Expenditures	119,705	14,398	156,120	95,574	107,766	493,563
4. Capital Expenditures	0	0	0	0	0	0
5. Total Expenditures	607,951	21,532	808,962	125,975	313,598	1,878,018

QUESTION II: In the section below, report the FTEs of paid staff by function. A single employee's time may be spread over several functional areas. This question is for number of staff, not for dollar amounts. Staffing costs go in Question I, rows 1. and 2.

	A. Development/ Fundraising	B. Alumni Relations/Affairs	C. Communications/ Marketing	D. Advancement Services	E. Advancement Management	F. Total Staff
6. Staff (FTE)	4.00	1.00	7.00	0.25	0.75	13.00

QUESTION III: Use the space below to tell us if your institution is in an active capital campaign this fiscal year, and, if so, the dates of the campaign.

If your institution is in a capital campaign, check here. Then enter the dates of the campaign below.

The silent phase of the campaign started on the following date:

The campaign officially started on the following date:

The campaign ends on the following date:

QUESTION IV: Comments and Notes Higher Education Survey in FY2016 / Page 3 / October 4, 2016 / 2016 CAE

Please use this space to enter comments or explanatory notes:

Humboldt State University
FY2016 Higher Education Survey
Section 3a. Outright Giving: Current Operations (Full option)

DO NOT INCLUDE DEFERRED GIFTS IN THIS SECTION. There are three options for completing Section 3: Full, Partial, and Minimal. All forms of the survey count as full participation in the program. So, complete the version where the level of detail corresponds to the level of detail in your records. You might also want to do a shorter version because of time constraints. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Unrestricted	185,191	39,069	6,837	108	3,518	0	450	3,277	238,450
Restricted 2. Academic Divs.	119,471	15,287	32,510	137,320	21,795	0	0	10,370	336,753
3. Faculty & Staff Compensation	0	0	0	50,000	0	0	0	0	50,000
4. Research	143,263	40	4,135	86,535	1,401,082	0	0	770,085	2,405,140
5. Public Service & Extension	106,798	3,685	295,531	866,269	590,426	0	0	77,026	1,939,735
6. Library	12,100	0	50,775	0	100	0	0	0	62,975
7. Operation & Maint. of Plant	0	0	0	0	10,000	0	0	0	10,000
8. Student Financial Aid	111,808	4,915	85,747	156,933	20,541	0	0	12,705	392,649
9. Athletics	39,193	15,628	98,574	11,214	102,959	0	0	1,930	269,498
10. Other Restricted	47,860	9,143	29,952	16,025	68,197	0	98	15,046	186,321
11. Total Restricted (2-10)	580,493	48,698	597,224	1,324,296	2,215,100	0	98	887,162	5,653,071
12. Total Outright for Curr. Ops. (1&11)	765,684	87,767	604,061	1,324,404	2,218,618	0	548	890,439	5,891,521

**Humboldt State University
 FY2016 Higher Education Survey
 Section 3b. Outright Giving: Capital Purposes**

Do not include deferred gifts in this section. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished!
 DO NOT INCLUDE SOFTWARE ON THE VSE SURVEY.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. TOTAL
1. Prop., Build. & Equipment	1,000	0	0	0	1,000	0	0	0	2,000
2. Endowment - Unrestricted	0	0	0	0	0	0	0	0	0
3. Endowment - Restricted	131,056	18,500	51,725	30,000	8,500	0	0	350	240,131
4. Loan Funds	0	0	0	0	0	0	0	0	0
5. Total Outright for Capital Purposes	132,056	18,500	51,725	30,000	9,500	0	0	350	242,131

**Humboldt State University
FY2016 Higher Education Survey
Section 3c. Deferred Giving**

Please report both Face Value (FV) and Present Value (PV). The Present Value for VSE purposes is defined as the tax deduction to the donor as allowed by the IRS. You must report both. If you find your records do not contain both figures, please contact VSE Support and request help. The survey cannot be accepted without face and present value figures. There is a deferred gift calculator at www.cae.org/vsestandards . This section is only for newly established deferred gifts or gifts that had funds added to them this year. Deferred gifts are ONLY: 1. Charitable Remainder Trusts. 2. Charitable Gift Annuities 3. Pooled Income Funds 4. Remainder Interest in Property. They are reported only when established, not when realized. DO NOT RECORD pledges, bequest intentions, gifts from IRAs, or gifts of life insurance in this section. Please enter 0 where no gift income was received for a particular cell. Every cell below should have a number in it when you are finished.

	A. Alumni - FV	B. Alumni - PV	C. Parents - FV	D. Parents - PV	E. Others - FV	F. Others - PV	G. Total Face Value	H. Total Present Value
1. Endowment - Unrestricted	0	0	0	0	0	0	0	0
2. Endowment - Restricted	0	0	0	0	0	0	0	0
3. Other Purposes	0	0	0	0	0	0	0	0
4. Total Deferred Giving	0	0	0	0	0	0	0	0

Humboldt State University
FY2016 Higher Education Survey
Section 3d. Gift Income Summary

This summary pulls together total rows from Section 3.a, 3.b, and 3.c. When you enter the data online, this section is filled in automatically. There is no data entry required.

	A. Alumni	B. Parents	C. Other Individuals	D. Foundations	E. Corporations	F. Religious Organizations	G. Fundraising Consortia	H. Other Organizations	I. GRAND TOTAL
1. Total Outright for Current Operations	765,684	87,767	604,061	1,324,404	2,218,618	0	548	890,439	5,891,521
2. Total Outright for Capital Purposes	132,056	18,500	51,725	30,000	9,500	0	0	350	242,131
3. Total Deferred at Present Value	0	0	0						0
Official Total Using Present Value	897,740	106,267	655,786	1,354,404	2,228,118	0	548	890,789	6,133,652
Total Deferred at Face Value	0	0	0						0
Total Using Face Value	897,740	106,267	655,786						6,133,652

**Humboldt State University
 FY2016 Higher Education Survey
 Section 4a. Additional Details on Section 3 - Individuals**

1. Contributions from Individuals A. Contributions from Individuals for All Purposes Alumni Total - REQUIRED

	No. of Record	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders				
1b. Alumni graduate only degree or diploma holders				
1c. Alumni without a degree or diploma				
1d. Enter Totals here ONLY if you have left 1a-1c above blank	54,347	34,508	2,737	897,740
1e. Alumni Total	54,347	34,508	2,737	897,740
	No. of Record	No. Solicited	No. Donors	Amount \$
2. Parents	12,278	6,336	558	106,267
	No. of Record	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	1,333	1,333	132	47,979
4. Students	0	0	0	0
5. All Others not counted in 1-4	21,106	5,369	2,888	607,809
Dollar Total of rows 3-5 above				655,788

**Humboldt State University
FY2016 Higher Education Survey**

B. Contributions from Individuals for Current Operations Only

	No. Solicited	No. Donors	Amount \$
1a. Alumni undergraduate degree or diploma holders			
1b. Alumni graduate degree or diploma holders			
1c. Alumni without a degree or diploma			
1d. Enter Totals here ONLY if you have left 1a-1c above blank	34,508	2,715	765,684
1e. Alumni Curr. Ops. Total	34,508	2,715	765,684
	No. Solicited	No. Donors	Amount \$
2. Parents	6,336	554	87,767
	No. Solicited	No. Donors	Amount \$
3. Faculty and Staff	1,333	132	44,479
4. Students	0	0	0
5. All others not counted in 1-4 above	5,369	2,869	559,583
Dollar Total of rows 3-5 above			604,062

C. Bequests - REQUIRED Include realized bequests, not bequest intentions, which are reported in section 1. Make sure the bequests listed below are also reported in section 3A, 3B, and/or 3C. Bear in mind that most bequests would not appear in 3C. Generally, they are not deferred gifts. In some cases, though, a deferred gift may be established via a will. If any of the reported bequests were reported in 3C, report them below at their present value, not face value.

	No. of Donors	Amount \$
1. Bequests for Current Operations	2	30,000
2. Bequests for Capital Purposes	0	0
3. Enter Totals here ONLY if you have left lines 1 & 2 above blank		
4. Total Bequests	2	30,000

**Humboldt State University
FY2016 Higher Education Survey**

D. Three Largest Donor Totals from Individuals - REQUIRED. If any of these gifts are deferred gifts, use PRESENT value, not face value.

	Largest \$	2nd Largest \$	3rd Largest \$
1. From living individuals	142,888	54,955	46,000
2. Through estate settlements (bequests)	20,000	10,000	0

E. Direct Governing Board Giving for All Purposes Include current, emeritus and honorary board members. On row 1 include only gifts that receive legal/hard credit. NEW! Gifts that receive soft credit may be reported on row 2. Remember to report under the dollar amount, the sum of outright gifts plus deferred gifts at PRESENT (discounted) value.

You should count trustees in this section even though you previously counted them as alumni, parents, or other individuals. And soft-credit gifts would still be counted even though they are also counted under the type of organization that provided the direct gift. Do not enter zero to indicate you are skipping the question! Just leave the question blank if you're not answering. It is very unlikely that zero is a valid answer to this question.

	No. of Donors	Amount \$
1. Governing Board Giving	11	32,553
2. Additional Soft-Credit Gifts	2	5,100

F. Deferred Giving - REQUIRED Number of gifts (Charitable Remainder Trusts, Pooled Income Funds, Gift Annuities, and the like) whose dollar amount is reflected in Section 3.C.

	Number established or added to during reporting period
Deferred Giving	0

G. Appreciated Property Giving

	No. of Gifts	Amount \$
1. Securities	5	80,961
2. Real estate	0	0
3. Other real property	1	46,000
4. Enter Totals here ONLY if you have left lines 1-3 above blank		
5. Total Apprec. Prop.	6	126,961

**Humboldt State University
FY2016 Higher Education Survey**

H. Personal Giving Additional Details Some personal gifts are dispensed through organizations and therefore are not reflected as personal giving data on this survey. Please report below personal gifts that are officially counted as coming from organizations elsewhere on this survey. The amount from family foundations will appear in section 4b and should not be entered here.

	No. of Donors	Amount \$
Donor-Advised Funds	17	131,799
Businesses	62	330,335
Other Organizations (Including Federated Funds)	0	0

**Humboldt State University
FY2016 Higher Education Survey
Section 4b. Additional Details on Section 3 - Organizations & Other**

2. Contributions from Organizations A. Foundations

	No. of Donors	Amount \$
1. Personal and Family	5	169,730
2. Other foundations and trusts, excluding corporate	31	1,184,673
3. Total	36	1,354,403

B. Three Largest Donor Totals from Foundations - REQUIRED The sum of the three largest donor totals from Foundations below must not exceed the total dollar amount reported from Foundations above because these largest donor totals must be included in the amounts reported above and in Section 3.

	Largest \$	2nd Largest \$	3rd Largest \$
Foundations	675,466	100,000	93,750

C. Corporations 1. Forms of Giving DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	No. of Gifts	Amount \$
1. Cash and securities (exclusive of matching gifts)	1,158	2,145,662
2. Company products	275	66,430
3. Other company property	0	0
4. Matching gifts	55	16,026
5. Total		2,228,118

2. Additional Matching Gifts Details In addition to the amounts listed directly above in line 4, please report below matching corporate gifts that were dispensed through a third party, such as a community foundation, charitable gift fund, or other entity. These would not be counted as corporate giving elsewhere in the survey, but are under the control of companies.

	No. of Gifts	Amount \$
Matching Gifts Not Directly From a Corporation	8	30,987

3. Software If your institution wishes to record gifts of software, include them below. DO NOT include them anywhere else in the survey.

	Value of Software	No. Contributing Companies
Software	0	0

**Humboldt State University
FY2016 Higher Education Survey**

D. Three Largest Donor Totals from Corporations - REQUIRED The sum of the three largest donor totals from Corporations below must not exceed the total dollar amount reported from Corporations you reported in Section 3. DO NOT INCLUDE SOFTWARE HERE OR IN SECTION 3. IF IT WAS INCLUDED IN SECTION 3, PLEASE RETURN TO THAT SECTION, REMOVE THE GIFT(S), AND SAVE 3D AGAIN.

	Largest \$	2nd Largest \$	3rd Largest \$
Corporations	1,196,571	252,729	120,000

3. Other Fundraising Activity A. Purposes of Gifts to Endowment: Income Restricted (Include both outright and deferred gifts, and use the PRESENT value of deferred gifts.)

	Amount \$
1. Academic Divisions	36,470
2. Faculty and Staff Compensation	0
3. Research	1,785
4. Public Service and Extension	250
5. Library	0
6. Operation and Maintenance of Plant	0
7. Student Financial Aid	200,626
8. Athletics	0
9. Other	1,000
Total	240,131

**Humboldt State University
FY2016 Higher Education Survey**

B. Support of Intercollegiate (Extramural) Athletics ONLY Note that the total you report here is not comparable to other Athletics Giving Totals in the VSE Survey. In Section 3A, you report Current Operations Restricted Giving to Athletics for both Intramural and Extramural combined. Here you report only Extramural. Here should also include Extramural Gifts to Endowment, Income Restricted to Athletics that you include in Section 3.B (outright) and 3.C (deferred).

	No. of Donors	Amount \$
1. Restricted to current operations	1,263	269,498
2. Restricted to capital purposes	0	0
3. Total	1,263	269,498